

Section III: CONDITIONS

The amounts approved in Section I and the billings for the services listed in Section II are subject to the following conditions:

- A. LIMITATIONS: (1) Charges resulting from this Agreement are subject to any statutory or administrative limitations and apply to a given grant, contract or other agreement only to the extent that funds are available. (2) Such charges represent costs incurred by the State/locality which are legal obligations of the State/locality and are allowable under 2 CFR Part 225 (OMB Circular A-87). (3) The same costs that are treated as indirect costs are not claimed as direct costs. (4) Similar types of costs are accorded consistent accounting treatment. (5) The information provided by the State/locality which was used to establish this Agreement is not later found to be materially incomplete or inaccurate.
- B. ACCOUNTING CHANGES: This Agreement is based on the accounting system purported by the State/locality to be in effect during the Agreement period. Changes to the method of accounting for costs which affect the amount of reimbursement resulting from the use of this Agreement require prior approval of the authorized representative of the Cognizant Agency. Such changes include, but are not limited to, changes in the charging of a particular type of cost from an allocated cost to be billed cost. Failure to obtain approval may result in cost disallowances.
- C. FIXED AMOUNTS: If fixed amounts are approved in Section I of this Agreement, they are based on an estimate of the costs for the period covered by the Agreement. When the actual costs for this period are determined, adjustments will be made to the amounts of a future year to compensate for the difference between the costs used to establish the fixed amounts and actual costs.
- D. BILLED COSTS: Charges for the services listed in Section II will be billed in accordance with rates established by the State/locality. These rates will be based on the estimated costs of providing the services. Adjustments for variances between billed costs and the actual allowable costs of providing the services, as defined by 2 CFR Part 225 (OMB Circular A-87), will be made in accordance with procedures agreed to between the State/locality and the Cognizant Agency.
- E. USE BY OTHER FEDERAL AGENCIES: This Agreement was executed in accordance with the authority in 2 CFR Part 225 (OMB Circular A-87), and should be applied to grants, contracts and other agreements covered by that Circular, subject to any limitations in Paragraph A above. The State/locality may provide copies of the Agreement to other Federal Agencies to give them early notification of the Agreement.

F. SPECIAL REMARKS:

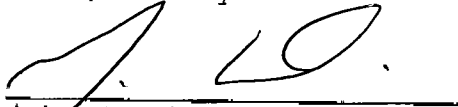
Equipment means an article of nonexpendable, tangible personal property having a useful life of more than one year and an acquisition cost of \$1,000 or more per unit. Effective July 1, 2000 the capitalization threshold has been changed to \$5,000.

BY THE STATE/LOCALITY:

BY THE COGNIZANT AGENCY ON
BEHALF OF THE FEDERAL GOVERNMENT:

State of Rhode Is & Provid. PL
State/Locality

DEPARTMENT OF HEALTH & HUMAN SERVICES
AGENCY)


(Signature)


(Signature)

MARC Leonetti
(Name)

Robert I. Aaronson
(Name)

State Controller
(Title)

Director, Div. of Cost Allocation
(Title)

7/13/11
(Date)

June 22, 2011
(Date)

HHS Representative: Wing Y. Mak

Telephone: 212-264-0991

State of Rhode Island
Statewide Cost Allocation Plan
Allocated Costs by Department

	M.H.R.H.	Health	Human Services	Corrections	Elem & Sec Education	DLT Labor & Training GR	Environmental Management	RI Justice Commission	RI Developmental Disability Cnl
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Budget 2011									
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State of Rhode Island
Statewide Cost Allocation Plan
Allocated Costs by Department

	Arts Council	Historical Preservation	State Police	Municipal Police Academy	National Guard	Emergency Management	Attorney General	Crime Victims Fund	Employment Security Admin B	Municipal Affairs
\$	-	\$	-	\$	119	\$	-	\$	-	\$
Arts Council	-	-	-	-	-	-	-	-	-	-
Historical Preservation	-	-	-	-	-	-	-	-	-	-
State Police	-	-	-	-	-	-	-	-	-	-
Municipal Police Academy	-	-	-	18	1,138	280	-	-	-	-
National Guard	-	-	3,509	556	12,447	7,071	14,022	2,695	-	-
Emergency Management	-	-	-	-	-	-	-	-	-	-
Attorney General	-	-	-	-	-	-	-	-	-	-
Crime Victims Fund	-	-	-	-	-	-	-	-	-	-
Employment Security Admin B	-	-	-	-	-	-	-	-	-	-
Municipal Affairs	-	-	-	-	-	-	-	-	-	-
Building Use Charges	5,028	6,387	56,658	1,712	38,964	20,393	51,311	7,569	-	-
Equipment Use Charges	10,674	3,511	25,790	1,301	10,123	15,186	5,447	-	-	-
Rental of Facilities - RIRBA	9,963	3,133	58,040	4,707	56,236	24,115	28,348	6,495	-	-
Labor Relations Board	41,868	-	20,720	-	-	-	-	-	-	-
Treasurer	1,678	1,961	13,877	556	12,447	7,071	14,022	2,695	-	-
Administration- Director	-	-	-	-	-	-	-	-	-	-
Accounts & Control	-	-	-	-	-	-	-	-	-	-
Budget	-	-	-	-	-	-	-	-	-	-
Purchasing	-	-	-	-	-	-	-	-	-	-
Audits	-	-	-	-	-	-	-	-	-	-
Human Resources- Assoc Dir	-	-	-	-	-	-	-	-	-	-
Personnel	2,388	5,547	104,550	1,069	27,860	5,866	69,086	1,194	-	-
Legal Services	2,368	1,321	24,701	1,113	15,098	5,743	14,232	1,501	-	-
Employee Training	-	-	-	-	-	-	-	-	-	-
Personnel Appeals Board	61	140	2,629	27	701	148	1,738	30	-	-
Central Service- Assoc Dir	-	-	-	-	-	-	-	-	-	-
Property Management	-	-	-	-	-	-	-	-	-	-
Information Technology	-	-	-	-	-	-	-	-	-	-
Auditor General	-	-	-	-	-	-	-	-	-	-
Retiree Health	-	-	-	-	-	-	-	-	-	-
Asset Inventory	-	-	-	-	-	-	-	-	-	-
RISAIL- Information Technolo	-	-	-	-	-	-	-	-	-	-
Security Serv.- Capitol Police	-	-	-	-	-	-	-	3,755	-	-
Total Allocation	74,139	22,000	310,474	10,503	162,686	78,802	184,184	23,239	-	-
Carry Forward	22,111	(891)	(87,533)	1,058	(47,000)	49,857	(6,063)	3,026	(414)	(3,675)
Subtotal	96,250	21,109	222,941	11,561	115,686	128,659	178,121	26,265	(414)	(3,675)
Negotiated Settlements	-	-	-	-	-	-	-	-	-	-
Total Costs	\$ 96,250	\$ 21,109	\$ 222,941	\$ 11,561	\$ 115,686	\$ 128,659	\$ 178,121	\$ 26,265	\$ (414)	\$ (3,675)

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State of Rhode Island
Statewide Cost Allocation Plan
Allocated Costs by Department

Department	Registry Motor Vehicles	Child Support Enforcement	DLT Employer Tax Collection Unit	State Energy Office	OLIS	Judicial	Atomic Energy Commission	Office of Public Defender	Comm for Human Rights	PUC	Coastal Resources Management
Building Use Charges	\$ -	\$ -	\$ -	\$ -	\$ -	928	\$ -	\$ -	\$ -	\$ -	\$ 1,841
Equipment Use Charges	-	-	-	-	-	-	-	-	-	-	-
Rental of Facilities - RIRBA	-	-	-	111	-	6,458	-	672	224	466	373
Labor Relations Board	-	5	44	1,366	-	46,458	1,193	3,434	1,059	2,972	1,828
Treasurer	-	-	-	41,276	-	-	-	-	-	-	-
Administration- Director	-	14	119	4,360	-	165,896	3,790	14,552	3,742	10,482	6,619
Accounts & Control	-	202	303	101	-	10,894	6,621	6,326	11,100	5,551	6,326
Budget	-	-	-	3,775	-	66,140	3,728	5,823	2,567	20,187	5,288
Purchasing	-	-	-	-	-	10,029	-	-	-	-	-
Audits	-	-	-	-	-	-	-	-	-	-	-
Human Resources- Assoc Dir	-	-	-	3,466	-	207,942	2,920	27,381	4,501	12,516	8,695
Personnel	-	-	-	1,205	-	38,829	1,130	4,510	1,078	5,723	2,150
Legal Services	-	-	-	-	-	-	-	-	-	-	-
Employee Training	-	-	-	87	-	5,230	74	689	113	315	219
Personnel Appeals Board	-	-	-	-	-	-	-	-	-	-	-
Central Service- Assoc Dir	-	-	-	-	-	-	-	-	-	-	-
Property Management	-	-	-	-	-	-	-	-	-	-	-
Information Technology	-	-	-	-	-	-	-	-	-	-	-
Auditor General	-	-	-	-	-	-	-	-	-	-	-
Retiree Health	-	-	-	-	-	-	-	-	-	-	-
Asset Inventory	-	-	-	-	-	-	-	-	-	-	-
RISALL- Information Technolo	-	-	-	-	-	-	-	-	-	-	-
Security Serv -Capitol Police	-	4,798	39,276	-	-	2,426,546	-	-	-	-	-
Total Allocation	-	5,019	39,742	55,747	-	2,985,350	19,456	63,387	24,384	58,212	33,339
Carry Forward	(172,405)	(1,221)	811	27,813	(200,225)	(247,073)	(1,065)	4,561	1,539	14,530	944
Subtotal	(172,405)	3,798	40,553	83,560	(200,225)	2,738,277	18,391	67,948	25,923	72,742	34,283
Negotiated Settlements											
Total Costs	\$ (172,405)	\$ 3,798	\$ 40,553	\$ 83,560	\$ (200,225)	\$ 2,738,277	\$ 18,391	\$ 67,948	\$ 25,923	\$ 72,742	\$ 34,283

State of Rhode Island
Statewide Cost Allocation Plan
Allocated Costs by Department

	Child Advocate	Elderly Affairs	Children Youth & Families	Higher Education	RIUSTFRF	ISTEA	Highways	URI	RIC	CCRI	Federal Surplus Property	Assessed Fringe Benefits
51 Schedule A Budget 2011 User Department												
NO. 0391 Building Use Charges	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 46,234	\$ 366,269	\$ -	\$ -	\$ -	\$ -
Equipment Use Charges	-	-	-	-	-	-	-	-	-	-	-	-
Rental of Facilities - RIRBA	-	-	-	-	-	-	-	-	-	-	-	-
Labor Relations Board	56	392	11,703	37	-	4,461	6,179	38,694	14,242	12,692	-	149
Treasurer	455	5,155	113,472	2,150	-	23,618	22,492	53,678	20,859	16,682	-	88,288
Administration- Director	-	-	-	-	-	-	-	-	-	-	-	51,023
Accounts & Control	1,557	15,708	347,625	6,749	-	81,455	82,327	270,769	105,108	83,707	-	241,318
Budget	2,933	11,100	76,255	58,852	-	58,478	49,747	46,348	19,862	13,243	-	1,512
Purchasing	229	13,616	46,548	6,433	-	66,537	104,558	22,678	3,943	11,598	-	397
Audits	-	54,835	-	-	-	138	1,201	-	-	-	-	-
Human Resources- Assoc Dir	-	-	-	-	-	-	-	-	-	-	-	28,025
Personnel	1,682	8,730	203,349	5,308	-	90,193	111,722	722,495	281,574	225,946	-	4,285
Legal Services	250	3,894	34,184	1,982	-	24,748	35,279	91,647	34,694	29,603	-	598
Employee Training	-	-	-	-	-	-	-	-	-	-	-	-
Personnel Appeals Board	42	220	5,114	133	-	2,268	2,810	18,172	7,082	5,683	-	108
Central Service- Assoc Dir	-	-	-	-	-	-	-	-	-	-	-	-
Property Management	-	-	-	-	-	-	-	-	-	-	-	-
Information Technology	-	-	-	-	-	-	-	-	-	-	-	-
Auditor General	-	-	-	-	-	-	-	-	-	-	-	-
Retiree Health	-	-	-	-	-	-	-	-	-	-	-	-
Asset Inventory	-	-	-	-	-	-	-	-	-	-	-	-
RISAIL- Information Technolo	-	-	-	-	-	-	-	-	-	-	-	-
Security Serv.-Capitol Police	-	-	-	-	-	-	-	-	-	-	-	-
Total Allocation	7,204	113,650	838,250	81,644	-	351,896	462,549	1,630,750	487,364	399,154	-	415,703
Carry Forward	(386)	18,588	929,176	402	-	42,984	(173,177)	300,543	116,409	103,798	-	(216,308)
Subtotal	6,818	132,238	1,767,426	82,046	-	394,880	289,372	1,931,293	603,773	502,952	-	199,395
Negotiated Settlements												
Total Costs	\$ 6,818	\$ 132,238	\$ 1,767,426	\$ 82,046	\$ -	\$ 394,880	\$ 289,372	\$ 1,931,293	\$ 603,773	\$ 502,952	\$ -	\$ 199,395

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State of Rhode Island
Statewide Cost Allocation Plan
Allocated Costs by Department

Internal Service Funds												
	Central Utilities	Energy Conservation	Central Postage	Information Processing	Centrex	Pastore Center	Central Pharmacy	Central Laundry	Automotive Maintenance	Central Distribution	Correctional Industries	Records Center
	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
User Department												
Building Use Charges	-	-	-	-	-	-	-	-	-	-	-	-
Equipment Use Charges	-	-	-	-	-	-	-	-	-	-	-	-
Rental of Facilities - RIRBA	-	-	-	-	-	-	-	-	-	-	-	-
Labor Relations Board	37	168	131	37	37	-	-	206	111	224	299	75
Treasurer	583	112	1,440	1,856	1,856	-	1,265	1,080	5,141	5,593	2,556	896
Administration- Director	22,732	16,644	34,660	18,825	18,825	-	-	-	24,631	-	-	-
Accounts & Control	1,922	601	4,481	5,355	5,355	-	3,502	3,607	14,399	15,929	8,114	2,658
Budget	605	403	605	706	706	-	-	-	706	-	-	-
Purchasing	-	-	1,758	14,258	14,258	-	2,231	4,692	1,024	125,402	17,100	886
Audits	-	-	-	-	-	-	-	-	-	-	-	-
Human Resources- Assoc Dir	-	-	-	-	-	-	-	-	-	-	-	-
Personnel	1,909	1,398	2,911	1,581	1,581	-	296	3,570	2,069	3,650	6,218	1,138
Legal Services	230	168	717	3,168	3,168	-	502	1,409	462	26,633	4,320	322
Employee Training	-	-	-	-	-	-	-	-	-	-	-	-
Personnel Appeals Board	48	35	73	40	40	-	7	89	52	91	156	29
Central Service- Assoc Dir	-	-	-	-	-	-	-	-	-	-	-	-
Property Management	-	-	-	-	-	-	-	-	-	-	-	-
Information Technology	-	-	-	-	-	-	-	-	-	-	-	-
Auditor General	-	-	-	-	-	-	-	-	-	-	-	-
Retiree Health	-	-	-	-	-	-	-	-	-	-	-	-
Asset Inventory	-	-	-	-	-	-	-	-	-	-	-	-
RISAIL-Information Technolo	-	-	-	-	-	-	-	-	-	-	-	-
Security Serv.-Capitol Police	-	-	-	-	-	-	-	-	-	-	-	-
Total Allocation	28,066	19,529	46,776	45,826	45,826	-	7,803	14,653	48,595	177,522	38,763	6,004
Carry Forward	(106,576)	19,650	(6,763)	(24,744)	(24,744)	-	(56,749)	3,593	(72,054)	(29,464)	(63,549)	961
Subtotal	(78,510)	39,179	40,013	21,082	21,082	-	(48,946)	18,246	(23,459)	148,058	(24,786)	6,965
Negotiated Settlements												
Total Costs	\$ (78,510)	\$ 39,179	\$ 40,013	\$ 21,082	\$ 21,082	\$ -	\$ (48,946)	\$ 18,246	\$ (23,459)	\$ 148,058	\$ (24,786)	\$ 6,965

State of Rhode Island
Statewide Cost Allocation Plan
Allocated Costs by Department

Schedule A Budget 2011	Temporary Disability Fund	Employment Security Fund	Higher Education Assistance	Secretary of State	Office of Health & Human Services	DLT Workers Comp	DLT Employment Security	DLT Restricted	DLT Reed Act	DLT Treasury (UJ)	Employees Health Insurance ISF	User Department	
												Building Use Charges	Equipment Use Charges
	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		
Rental of Facilities - RIRBA	-	-	-	-	-	-	-	-	-	-	-		
Labor Relations Board	728	3,304	317	541	-	-	-	-	-	-	-		
Treasurer	3,702	20,035	798	8,786	1,094	5,263	2,936	157	644	5,321			
Administration- Director	-	-	-	-	-	-	-	-	-	-	-		
Accounts & Control	12,600	68,616	4,223	26,930	3,137	14,482	7,997	429	1,802	14,496			
Budget	202	-	6,621	7,565	10,894	101	-	202	-	7,158			
Purchasing	6,174	70,908	-	13,402	3,439	8,390	14,120	153	3,270	-			
Audits	7,463	-	-	-	-	-	-	-	-	-			
Human Resources- Assoc Dir	-	-	-	-	-	-	-	-	-	-			
Personnel	13,048	72,588	11,014	15,767	978	887	-	-	296	-			
Legal Services	2,860	23,543	1,325	4,697	836	1,860	2,950	32	720	-			
Employee Training	-	-	-	-	-	-	-	-	-	-			
Personnel Appeals Board	328	1,826	277	396	25	23	-	-	7	-			
Central Service- Assoc Dir	-	-	-	-	-	-	-	-	-	-			
Property Management	-	-	-	-	-	-	-	-	-	-			
Information Technology	-	-	-	-	-	-	-	-	-	-			
Auditor General	-	-	-	-	-	-	-	-	-	-			
Retiree Health	-	-	-	-	-	-	-	-	-	-			
Asset Inventory	-	-	-	-	-	-	-	-	-	-			
RISAIL- Information Technolo	-	-	-	-	-	-	-	-	-	-			
Security Serv.-Capital Police	-	-	-	-	-	-	-	-	-	-			
Total Allocation	47,105	260,820	24,375	78,084	20,403	31,006	28,003	973	6,739	26,975			
Carry Forward	(228,804)	128,520	(25,934)	4,711	15,187	14,821	173	(1,590)	3,160	(102,896)			
Subtotal	(181,699)	389,340	(1,359)	82,795	35,590	45,827	28,176	(617)	9,899	(75,921)			
Negotiated Settlements													
Total Costs	\$(181,699)	\$ 389,340	\$(1,359)	\$ 82,795	\$ 35,590	\$ 45,827	\$ 28,176	\$(617)	\$ 9,899	\$(75,921)			

State of Rhode Island
Statewide Cost Allocation Plan
Allocated Costs by Department

	Human Resources	Facilities Mgmt	Information Tech.	State Surplus Prop	Vehicle Repl Rev Loan-ISP	Other	Total															
								Building Use Charges	Equipment Use Charges	Rental of Facilities - RIRBA	Labor Relations Board	Treasurer	Administration- Director	Accounts & Control	Budget	Purchasing	Audits	Human Resources-Assoc Dir	Personnel	Legal Services	Employee Training	Personnel Appeals Board
	\$ -	\$ 13,853	\$ -	\$ -	\$ -	\$ 290,560	\$ 988,697															
Building Use Charges																						
Equipment Use Charges																						
Rental of Facilities - RIRBA																						
Labor Relations Board			2,240			14,132	203,398															
Treasurer		5,259	4,449	32		249,339	1,172,174															
Administration- Director		141	620,258				830,190															
Accounts & Control		14,329	22,117	88		779,799	4,007,905															
Budget			1,008	202		1,096,489	2,095,445															
Purchasing		74,269	1,574			358,172	2,374,171															
Audits						796,563	1,217,128															
Human Resources-Assoc Dir							28,025															
Personnel		12	52,094			549,436	4,464,842															
Legal Services		15,514	6,595			781,623	1,673,724															
Employee Training																						
Personnel Appeals Board			1,311			13,821	112,299															
Central Service-Assoc Dir																						
Property Management																						
Information Technology																						
Auditor General						3,670,043	3,670,043															
Retirees Health																						
Asset Inventory																						
RISAIL-Information Technolo																						
Security Serv.-Capitol Police		33,458				860,990	3,373,499															
Total Allocation	-	156,835	711,646	322	-	9,460,967	26,211,540															
Carry Forward	-	141,714	731,316	318	-	(1,846,946)	521,334															
Subtotal	-	298,549	1,442,962	640	-	7,614,022	26,732,874															
Negotiated Settlements																						
Total Costs	\$ -	\$ 298,549	\$ 1,442,962	\$ 640	\$ -	\$ 7,614,022	\$ 26,732,874															